



Direct radiative effect by brown carbon over the Indo-Gangetic Plain

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Abstract. The importance of light-absorbing organic aerosols, often called brown carbon (BrC), has become evident in recent years. However, there have been relatively few measurement-based estimates for the direct radiative effect of BrC so far. In earlier studies, the AEROSOL ROBOTIC NETWORK (AERONET)-measured aerosol absorption optical depth (AAOD) and absorption Angstrom exponent (AAE) were exploited. However, these two pieces of information are clearly not sufficient to separate properly carbonaceous aerosols from dust, while imaginary indices of refraction would contain more and better justified information for this purpose. This is first time that the direct radiative effect (DRE) of BrC is estimated by exploiting the AERONET-retrieved imaginary indices. We estimated it for four sites in the Indo-Gangetic Plain (IGP), Karachi, Lahore, Kanpur and Gandhi College. We found a distinct seasonality, which was generally similar among all the sites, but with slightly different strengths. The monthly warming effect up to 0.5 W m^{-2} takes place during the spring season. On the other hand, BrC results in an overall cooling effect in the winter season, which can reach levels close to -1 W m^{-2} . We then estimated similarly also the DRE of black carbon and total aerosol, in order to assess the relative significance of the BrC radiative effect in the radiative effects of other components. Even though BrC impact seems minor in this context, we demonstrated that it is not insignificant. Moreover, we demonstrated that

it is crucial to perform spectrally resolved radiative transfer calculations to obtain good estimates for the DRE of BrC.

1 Introduction

Aerosols affect the Earth's climate both directly (by scattering and absorbing radiation) and indirectly (by serving as nuclei for cloud droplets). Currently, aerosol forcing is the largest uncertainty in assessing the anthropogenic climate change (Myhre, 2013). Specifically, the role of carbonaceous aerosols is poorly understood. These particles can be divided into two categories: (1) black carbon (BC) is the main absorbing component present in atmospheric aerosols; and (2) organic carbon (OC) represents a significant and sometimes major (20–90 %) mass fraction of the sub-micron aerosol (Kanakidou et al., 2005; Zhang et al., 2007). Organic carbon has been most often assumed, in global models for instance, to be a non-absorbing or only slightly absorbing component. However, there is growing evidence that a substantial amount of organic aerosols absorb at UV and visible wavelengths, particularly strongly at shorter wavelengths (e.g., Kirchstetter et al., 2004; Martins et al., 2009). Nevertheless, so far there have been only relatively few measurement-based estimates for the direct radiative effect (DRE) of absorbing organic carbon, often called brown carbon, BrC.

Both Chung et al. (2012) and Feng et al. (2013) exploited AERosol RObotic NETwork (AERONET) measurements to derive the radiative effect by BrC; the former used an approach to separate dust and carbonaceous aerosols based on the AERONET-measured absorption Angstrom exponent (AAE), while the latter accounted for shortwave enhanced absorption by BrC in their global model and demonstrated an improved correspondence of modeled aerosol absorption optical depth (AAOD) and AERONET measurements, when BrC absorption was included in the model.

The approach of Chung et al. (2012) has evident difficulties in separating dust and carbonaceous aerosols by using an AAE, and arguably an approach using AERONET-retrieved imaginary indices of refraction would be more justified, as discussed also in Schuster et al. (2015a, b). We estimated the BrC fractions by using the method of Schuster et al. (2015a) for four AERONET sites in the Indo-Gangetic Plain (IGP), Karachi, Lahore, Kanpur and Gandhi College, and then calculated the corresponding radiative effect by BrC. We moreover calculated similarly the DRE of BC and total aerosol, in order to assess the relative significance of the BrC radiative effect in carbonaceous or total aerosol radiative effects.

2 Data and methods

2.1 AERONET data

AERONET (AERosol RObotic NETwork) is a globally distributed network of automatic Sun and sky scanning radiometers that measure at several wavelengths, typically centered at 0.34, 0.38, 0.44, 0.50, 0.67, 0.87, 0.94, and 1.02 μm . The AERONET UV filters (340 and 380 nm) have a full width at half maximum (FWHM) of 2 nm as compared to 10 nm for all other channels. All of these spectral bands are utilized in the direct Sun measurements, while four of them are also used for the sky radiance measurements, 0.44, 0.67, 0.87 and 1.02 μm . Spectral aerosol optical depth (AOD) is obtained from direct Sun measurements, and inversion products of other aerosol optical properties, such as single scattering albedo (SSA), refractive indices and the column-integrated aerosol size distributions above the measurement site, are provided at the sky radiance wavelengths (Holben et al., 1998).

The estimated uncertainty in AOD (Level 2) is 0.01–0.02 and is primarily due to the calibration uncertainty (Eck et al., 1999). The uncertainty in the complex index of refraction depends on AOD; Dubovik et al. (2000) estimated errors on the order of 30–50 % for the imaginary part and 0.04 for the real part of the refractive index for the cases of high aerosol loading (AOD at 440 nm larger than 0.5). Aerosol loading is very high in the IGP region; therefore, these uncertainty estimates are likely representative for our AERONET sites as well.

Since the shortest sky radiance wavelength is 440 nm, AERONET wavelengths are not ideal for detecting BrC absorption, which is much stronger at shorter than at 440 nm wavelengths. However, it is also stressed that AOD is very high for all sites that were analyzed, allowing for sufficient robustness in the retrieved spectral signal in the imaginary refractive index.

In our study, we used Level 2 data of size distributions and refractive indices at four retrieval wavelengths 0.44, 0.67, 0.87 and 1.02. Moreover, we also included some Level 1.5 refractive indices, when $0.2 < \text{AOD}(440) < 0.4$, but only when a quality-checked Level 2 size distribution exists. In other words, we applied otherwise the same rigorous quality control that is required for Level 2 data, but we only relaxed the AOD requirement at 440 nm from 0.4 to 0.2. We selected on purpose also these cases of possibly somewhat lower AOD, in order to not bias our sample, and thus the estimate of the DRE, towards higher aerosol loading. However, the AOD at 440 nm is typically above 0.4 in the IGP region, so the set of almucantar refractive indices that we included turned out to be insignificantly different to that of the “full” Level 2 (not shown).

We included four AERONET sites for our data analysis, covering wide conditions in the Indo-Gangetic Plain (IGP): Karachi and Lahore in Pakistan, and Kanpur and Gandhi College in India. The measurements covered the following time periods: Gandhi College: 4/2006–3/2010; Kanpur: 1/2001–4/2012; Karachi: 9/2006–8/2011; Lahore: 4/2007–10/2011. Figure 1 shows the locations of these sites overlaid in the annual mean AOD map from MODIS Terra. In the IGP there are large local emissions of aerosols from various sources: heavy particulate pollution from industrial sources, strong vehicular emissions, use of fossil fuels, and widespread biomass and agricultural crop residue burning. In addition, the IGP is strongly affected by seasonal (pre-monsoon) mineral dust transported mainly from the Thar Desert (e.g., Jethva et al., 2005; Ram et al., 2010; Kedia et al., 2014). The seasonal monsoon rains are extremely vital for the IGP and strongly anchor one commonly used way to divide the year into four distinct seasons: winter (December–February), pre-monsoon (March–May), monsoon (June–August), and post-monsoon (September–November). The strong and seasonally varying aerosol sources in IGP result in a very distinct geographical pattern of elevated AOD, bounded in the north by the Himalayan foothills and to the south by lower-altitude mountains.

Figure 2 shows the monthly mean AOD and SSA at 440 nm for our study sites. It is noted that this data set includes all AOD values (from the inversion data set) without the AOD threshold of 0.2 that we applied for refractive indices and also for SSA shown in the lower plot. This figure then further illustrates that the AOD levels are typically high and why our selected set of refractive indices was not very different to the “full” Level 2. The relative fractions of Level 2 data out of our selected set from Level 1.5, for refractive in-

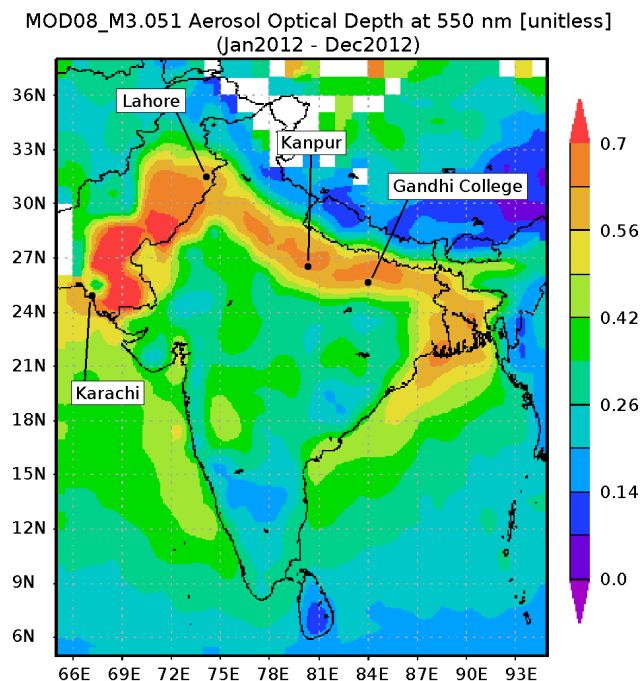


Figure 1. Annual mean AOD from MODIS Terra, with our AERONET study sites overlaid in the map. Source of MODIS data: <http://disc.sci.gsfc.nasa.gov/giovanni>.

dices, were about 60, 97, 85, and 88 % for Karachi, Lahore, Kanpur, and Gandhi College, respectively.

2.2 Retrieval of BrC from AERONET measurements

Schuster et al. (2005) developed an approach to retrieving black carbon concentration and specific absorption from AERONET retrievals of imaginary refractive indices, and it was further extended by Arola et al. (2011) to also include BrC. Recently, this method was further extended by Schuster et al. (2015a) to simultaneously include carbonaceous aerosols (both BC and BrC) and also mineral dust in both fine and coarse modes separately.

Since the main details of the methodology are comprehensively described elsewhere, particularly in Schuster et al. (2015a), only the main points are summarized below. The approach is based on the best match between the modeled imaginary index and those retrieved by AERONET at four inversion wavelengths. For the modeled case, a scattering host is assumed to contain the following absorbing components: black carbon, brown carbon, hematite, and goethite. It is emphasized that this approach is able to detect only a subset of total organic carbon that is present, the part of absorbing organic carbon (BrC). Therefore, the BrC/BC ratios that we can infer from AERONET are not directly comparable with OC/BC ratios available from in situ measurements. Table 1 provides the assumed refractive indices for each of these components. For the BrC refractive index, the values

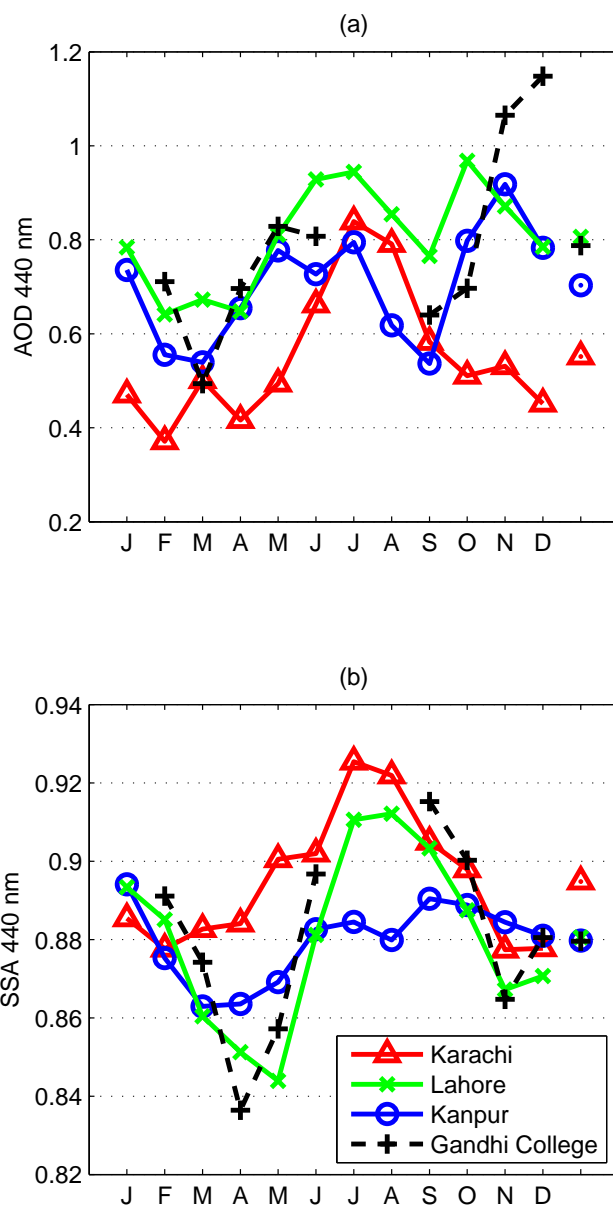


Figure 2. Monthly mean AOD and SSA at 440 nm for our selected AERONET sites. Annual means are indicated by a symbol after December.

given by Kirchstetter et al. (2004) were used, because globally they provide reasonable maximum and median fractions for BrC and also reasonable BrC/BC ratios. It is noted that while the most recent version of Schuster et al. (2015a) uses Maxwell Garnett as the mixing rule for refractive indices, volume averaging was assumed in the data set used in our analysis. Arguably, given the scope of our study, the choice of the mixing rule is not essential, as long as it is applied consistently both in the AERONET retrieval and in our radiative transfer calculations. Moreover, it has been shown that the volume averaging results in very reasonable performance

Table 1. Imaginary indices at 440 nm and RNIR (average of 670, 870, and 1020 nm) assumed for each component in the retrieval of Schuster et al. (2015a).

Wavelength	BrC	BC	Goethite	Hematite
440	0.063	0.79	0.068	1.23
NIR	0.003	0.79	0.1203	0.127

in many cases, as demonstrated for instance in Lesins et al. (2002).

The AERONET-retrieved imaginary refractive indices at four wavelengths form the basis for retrieving the fractions of absorbing components, including BrC.

The retrieval initially populates the fine mode with BC and BrC and the coarse mode with dust components (hematite and goethite). However, in some cases, in order to reach a realistic fit with the AERONET-retrieved imaginary indices, some of the fine mode has to additionally include iron oxides (hematite and goethite), and likewise some of the coarse mode can include carbonaceous aerosols. The average imaginary index of the three longest wavelengths (670, 870, 1020 nm), at red and near-infrared and hereinafter referred to by k_{RNIR} , determines the black carbon fraction of the fine mode, for instance, while the difference between the imaginary index at 440 nm and k_{RNIR} is due to the presence of BrC. Figure 3 shows the mean, median and variability (25 and 75 % percentiles) of k_{RNIR} and of the difference between $k_{440\text{ nm}}$ and k_{RNIR} . Very strong seasonality is evident particularly in k_{RNIR} , and thus in BC. The variability is significant; nevertheless, the seasonality of k_{RNIR} is strong enough that there is no overlap between the months of highest and lowest values, between November–December and April–May, for instance. Figure 4 shows the monthly mean values of the imaginary index at 440 nm and the difference between $k_{440\text{ nm}}$ and k_{RNIR} , in the (a) and (b) panels, respectively. Table 2 gives the number of imaginary indices that was included for each site to form the monthly means. Since we use the spectral imaginary index to derive BC and BrC volume fractions, there is understandably a visible similarity between BC fractions and k_{RNIR} (between panels a and c) and also BrC fractions and the difference between the imaginary index at 440 nm and RNIR (between panels b and d). The lowest panels, in turn, show the columnar concentrations of BC and BrC. These were obtained by multiplying the BC and BrC volume fractions by AERONET-measured total volume (fine-mode + coarse-mode volume) and by the assumed densities. The densities of 1.8 g cm^{-3} and 1.2 g cm^{-3} were assumed for BC and BrC, respectively.

There is a significant seasonality in both components of carbonaceous aerosols, particularly in BC, the largest fractions occurring in the winter and late fall seasons. This BC seasonality agrees well with the seasonal pattern that has been obtained by the surface measurements in the IGP (Ram

Table 2. Number of AERONET observations for each month for the four sites. Data were collected for Karachi from September 2006 to August 2011, for Lahore from April 2007 to October 2011, for Kanpur from January 2001 to April 2012, and for Gandhi College from April 2006 to March 2010. Monthly data in parentheses were not included in the study due to the low number of observations.

Month	Karachi	Lahore	Kanpur	Gandhi College
1	121	19	389	(7)
2	105	13	543	23
3	176	107	746	149
4	165	186	535	211
5	136	172	626	307
6	90	139	365	109
7	46	83	49	(8)
8	14	45	62	(5)
9	129	96	253	42
10	239	116	508	72
11	173	114	433	54
12	118	10	466	68

et al., 2010), who observed a very distinct BC seasonality. Moreover, they observed similar seasonal patterns for both BC and OC, highest concentrations in late fall/winter due to various sources of carbonaceous aerosols, and biomass burning and wood fuel burning for domestic use, for instance. As noted before, we can only detect absorbing OC via AERONET, so our BrC pattern cannot be, therefore, directly compared with these available OC measurements. However, generally our BrC seasonality agrees also rather well with the seasonality of OC in the IGP observed by Ram et al. (2010), while the clearest difference seems to be in spring, when AERONET-based BrC levels are enhanced. As shown by Vadrevu and Lasko (2015), for instance, in the IGP there is a bi-modal burning season, peaking in the spring and late fall/winter; this is captured by our BrC retrievals, suggesting that a large fraction of OC emissions in spring and late fall includes brown carbon.

2.3 Calculation of the radiative effect

The radiative transfer calculations were performed by using the libRadtran package (Mayer and Kylling, 2005). We used a two-stream solver and correlated-k approximation of Kato et al. (1999) with bands from 1 to 31 (from 240.1 to 3991 nm) to cover the entire shortwave (SW) range. The direct radiative effect of BrC, the DRE of BrC, at the top of the atmosphere (TOA) was calculated on a monthly basis, as the difference between two cases: including all aerosols and excluding BrC. The former was based on monthly mean size distribution and refractive index, while the latter set was formed by excluding the volume fraction of BrC. By excluding the BrC fraction, both refractive index and size distribution were then modified. The refractive index for the “non-BrC” case was created by the volume averaging mixing rule and including all the

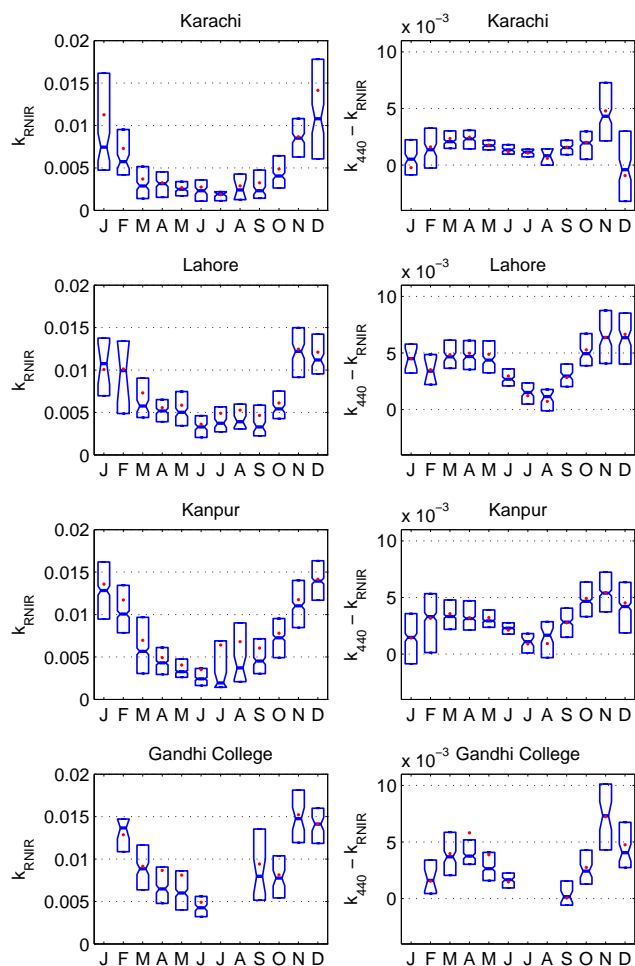


Figure 3. Boxplot of monthly imaginary indices: average of the imaginary index at 670, 870, and 1020 nm (k_{RNIR}) in the left panel; difference between the imaginary index at 440 nm and k_{RNIR} in the right panel, showing the 25 and 75 % percentiles. The boxplot indicating the median and mean is additionally shown by red dots.

other components except for BrC (the scattering host, black carbon, goethite and hematite). The volume size distribution of the “non-BrC” case was formed by reducing the volume in all size ranges by the volume fraction of BrC separately in the fine and coarse modes. By defining the DRE of BrC in this fashion, aerosols are considered internally mixed, which is also the case in AERONET inversion methods. We adopted a similar approach to calculate additionally the DRE of BC and total aerosol.

Size distributions and refractive indices were then used for calculating the aerosol optical properties for the non-BrC mixture, which was done by utilizing the spheroid aerosol model by Dubovik et al. (2002). The model is consistent with the one used for the retrieval of AERONET products, assuming a portion of the aerosols to be spheroids, as described by Dubovik et al. (2006). This way both the “all aerosols” mixture and the “non-BrC” mixture were described

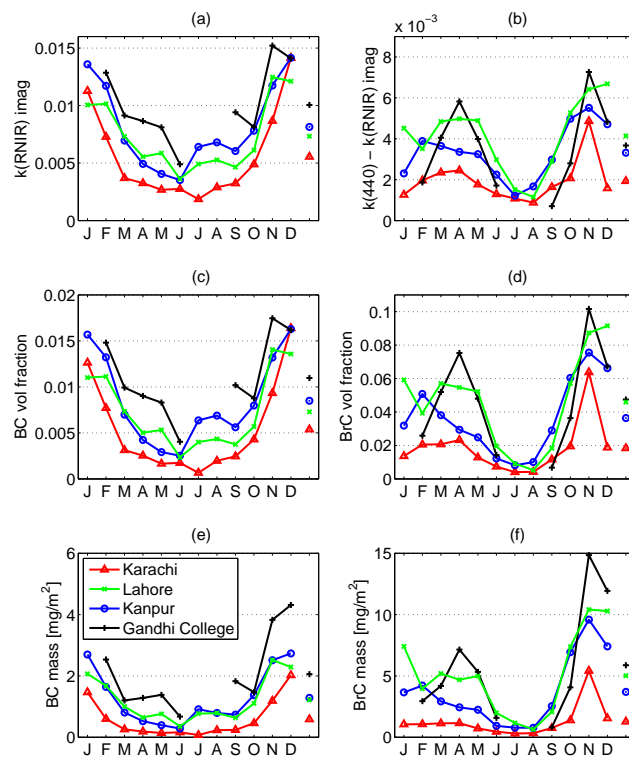


Figure 4. Monthly averages of imaginary indices and the retrieved volume fraction of carbonaceous aerosols: (a) average of the imaginary index at 670, 870, and 1020 nm (k_{RNIR}); (b) difference between the imaginary index at 440 nm and k_{RNIR} ; retrieved volume fractions of (c) BC and (d) BrC; retrieved columnar concentrations in mg m^{-2} of (e) BC and (f) BrC. Corresponding annual averages are given after December.

by their respective spectral AOD, SSA and asymmetry parameters, which were finally used estimating the DRE of BrC. For the calculations of aerosol direct radiative effect, surface albedo is also a very crucial input. In our simulations we used monthly spectral solar zenith angle dependent albedo from the AERONET inversion product (i.e., MODIS-based albedo). The surface albedo was linearly interpolated between the inversion data wavelengths. The surface albedo value at 440 nm was extrapolated to the shorter wavelengths as well, while the wavelengths larger than 1020 nm were linearly extrapolated so that the surface albedo at $5 \mu\text{m}$ is decreased to 0.01. The DRE of BrC (and BC and total aerosol) at TOA was simulated with a 1 h time step over a 24 h diurnal cycle with solar insolation of the 15th day of each month.

3 Results

Figure 5 shows our simulated radiative effects by BrC in the lowest panel, while the upper and middle panels include relevant parameters to interpret these results. The difference in AOD at 440 nm (in blue) and at RNIR (average of 670–

1020, in red) between the simulations with and without BrC is shown in the upper panel. The middle panel shows similar results for SSA, which are particularly relevant quantities now to understand whether the overall effect is warming or cooling when BrC is added in. It is emphasized that while brown carbon is absorbing at the shortest wavelengths, determined by the measurement at 440 nm in our case, it is almost purely scattering at RNIR wavelengths. Therefore, when BrC is included, there are typically two spectrally competing effects taking place, warming at the shortest and cooling at the longer wavelengths. And we can detect these effects also in the middle panel of Fig. 5. In principle, the scattering coefficient at RNIR increases when BrC is added, while the absorption coefficient remains close to a constant. Therefore, SSA (= scattering/[scattering + absorption]) also increases, and the SSA difference at RNIR, shown by the dashed red lines, is therefore essentially always positive. On the other hand, both scattering and absorption coefficients increase upon addition of BrC at the 440 wavelength. Hence, SSA decreases with addition of BrC at that wavelength, since scattering and absorption combined increase more than scattering alone. Thus, the blue lines are always negative.

The relative strength of these spectrally separated cooling and warming effects will eventually determine whether the overall spectrally integrated shortwave direct effect is cooling or warming. And the strength of both these effects, in turn, depends on the relative fractions of the other components present. In our version of absorbing components by Schuster et al. (2015a), volume averaging has been applied, consistently both in the retrieval and when we have formed new refractive indices for the “non-BrC” case in our simulations. Therefore, it is also now rather straightforward to give a quantitative estimate of the changes in the imaginary index with and without BrC at 440 nm and a RNIR range for any given fractions of these components. It is now possible to separate BrC influence this way, since we have assumed that BC has a constant refractive index at all wavelengths, where BrC is essentially non-absorbing but absorbs at 440 nm.

As can be seen from Table 1, BC has the largest imaginary index at RNIR wavelengths, and therefore the most sensitive change towards cooling at RNIR takes place when BrC is added to the mixture of relatively large amounts of black carbon. These changes in the imaginary index, with and without BrC, essentially determine the SSA patterns we see in the middle panel of Fig. 5. Therefore, it is useful and clarifying to further interpret our BrC DRE results by focusing next on these changes. Figure 6 shows the change in imaginary index (based on volume averaging), both at 440 nm and RNIR range, if BrC is added in. The scale of both the BC and BrC volume fractions in this figure was determined by the range retrieved for our IGP sites (in the middle panel of Fig. 4). It is evident that including BrC results in an increase of the imaginary index difference at 440 nm, which is a strong function of the BrC volume fraction but depends only slightly on the BC fraction (shown by the solid isolines of the figure). At RNIR

range the behavior is quite different: at low enough BC fractions, BrC can result in an increase in the imaginary index; however, most often the opposite is true (shown by the color bar and dotted isolines of the figure). Moreover, this decrease in the imaginary index with increasing BrC volume fraction depends also relatively strongly on the BC volume fraction. This means that for a given BrC fraction, the larger the volume fraction of BC, the stronger the cooling effect at RNIR wavelengths.

Our estimated values for the DRE of BrC shown in Fig. 5, and the corresponding changes in SSA (in the middle panels), are best understood with the help of Fig. 6 and there by the behavior at RNIR in particular. Therefore, this figure includes additionally the retrieved monthly averaged volume fractions of BC and BrC for 2 months, April and November, selected here to roughly represent the periods of the strongest warming and cooling. The name of the site is indicated next to the month of April; thus, the other end of the line corresponds to November. As can be seen from the middle panel of Fig. 5, the largest positive SSA difference at RNIR, when BrC is included, is in Gandhi College in November, which consistently corresponds to the case of the most negative change of the RNIR imaginary index in Fig. 6. This is then also the case of the strongest overall cooling by BrC. The spectral SSA changes due to the BrC, which are illustrated in the middle panel of Fig. 5, mainly determine whether overall cooling or warming takes place. However, the actual magnitude of these spectral cooling and warming contributions, in turn, are also substantially influenced by the absolute BrC fractions in AOD, which are shown in the upper panel of Fig. 5. It is evident that the large values of BrC optical depths at the end of the year in Gandhi College, in addition to the large increase of SSA at RNIR wavelengths, also strongly contribute to the considerable DRE of BrC. Brown carbon causes cooling in the other sites as well during this time of the year, when BC fractions are at their highest. On the other hand, the warming takes place typically in the spring season in all the sites, when BC fractions are lower but BrC fractions are at relatively high levels (shown in Figs. 4 and 6). To summarize, the common pattern is the warming by BrC in the spring season and cooling in the late fall and winter (except for Karachi where cooling takes place only in November–December), and this change of sign in the radiative effect by BrC is due to the different relative fractions of BC during the spring and late fall seasons.

The annually averaged DRE of BrC is slightly positive for Karachi, while Lahore and Kanpur have slight cooling by BrC. The annually averaged negative forcing in Gandhi College is somewhat more profound due to the strongest cooling in the November–December period. The strongest cooling is due to the highest total BrC concentrations and thus AOD corresponding to the BrC during this period, as can be seen from the upper panel of Fig. 5.

Finally, Table 3 gives monthly DRE values for the following cases of included aerosol types: total aerosols, BrC,

Table 3. Monthly DRE (W m^{-2}) of different aerosol types, “non-BrC” referring to the case with all aerosols other than BrC. The annual mean is shown after December. The three missing months of Gandhi College had fewer than 10 observations.

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Annual
Karachi													
Total	-6.06	-4.72	-6.30	-4.72	-5.67	-6.18	-11.28	-7.55	-5.91	-7.08	-6.81	-5.62	-6.49
BrC	-0.04	0.02	0.22	0.22	0.09	0.10	0.06	0.13	0.18	0.10	-0.15	-0.07	0.07
BC	5.91	4.66	3.54	2.25	1.42	2.73	0.93	3.92	3.22	3.57	4.99	6.06	3.60
Non-BrC	-6.02	-4.75	-6.52	-4.94	-5.76	-6.28	-11.34	-7.69	-6.09	-7.18	-6.66	-5.55	-6.56
Lahore													
Total	-12.82	-10.27	-7.98	-7.18	-6.62	-9.42	-10.29	-8.95	-10.23	-13.72	-11.48	-10.92	-9.99
BrC	-0.45	-0.24	0.02	0.28	0.50	0.44	0.13	0.04	-0.02	-0.05	-0.46	-0.57	-0.03
BC	5.00	5.75	6.61	5.92	8.35	4.83	6.96	6.29	4.30	5.90	7.51	5.70	6.09
Non-BrC	-12.37	-10.03	-8.01	-7.46	-7.12	-9.86	-10.42	-8.99	-10.22	-13.67	-11.01	-10.34	-9.96
Kanpur													
Total	-10.05	-6.06	-4.83	-5.32	-5.72	-6.57	-3.36	-2.79	-5.80	-9.84	-11.08	-10.16	-6.80
BrC	-0.21	-0.18	0.05	0.23	0.33	0.11	0.12	0.05	-0.01	-0.10	-0.36	-0.31	-0.02
BC	6.88	6.71	6.13	5.91	4.98	4.30	10.74	7.63	4.24	6.35	7.84	6.93	6.55
Non-BrC	-9.84	-5.88	-4.88	-5.55	-6.06	-6.67	-3.48	-2.84	-5.79	-9.74	-10.72	-9.85	-6.78
Gandhi College													
Total	-	-9.18	-6.51	-2.08	-3.88	-9.06	-	-	-7.31	-10.14	-10.42	-13.00	-7.95
BrC	-	-0.19	-0.15	0.42	0.30	0.14	-	-	-0.05	-0.23	-0.83	-0.68	-0.14
BC	-	7.56	5.36	9.92	10.83	6.44	-	-	5.42	5.51	9.53	8.73	7.70
Non-BrC	-	-8.98	-6.36	-2.50	-4.18	-9.20	-	-	-7.26	-9.92	-9.59	-12.32	-7.81

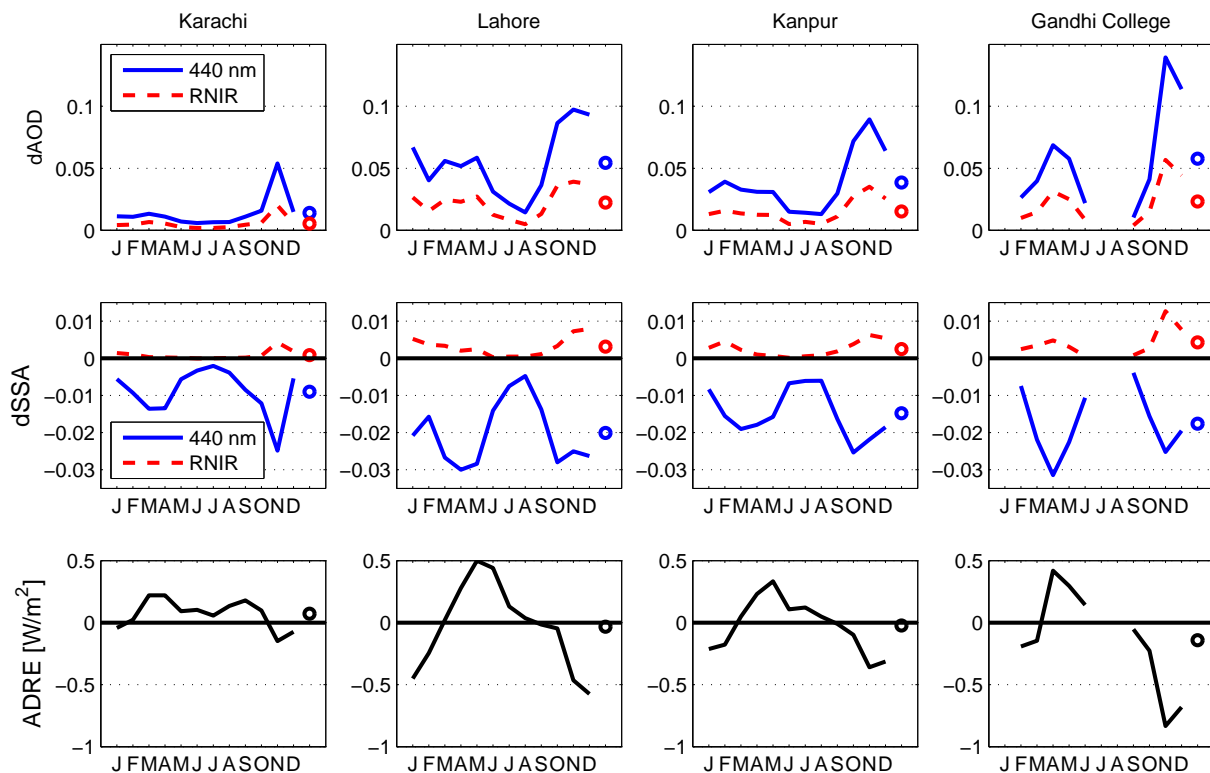


Figure 5. Upper panel: monthly averages of the difference in AOD at 440 nm (blue) and at RNIR (red) between simulations with and without BrC. Middle panel: corresponding cases for SSA. Lower panel: monthly average DRE of BrC. Corresponding annual averages are given by the symbol after December.

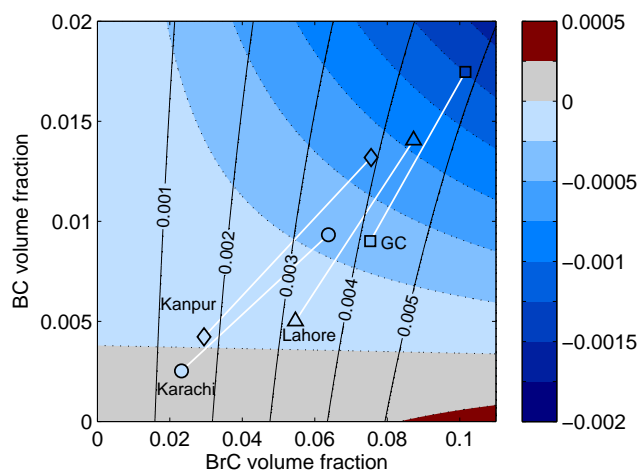


Figure 6. Difference between the imaginary index with and without BrC included at 440 nm (solid isolines) and at RNIR wavelengths (by color bar and dotted isolines) as a function of BC and BrC volume fractions. Monthly mean values of BC and BrC volume fractions are shown for each site by symbols and lines for 2 months: April with the name of the site next to it and November at the other end of the line.

BC and additionally the case with all aerosols except for BrC (“non-BrC”). One can conclude, for example, that during April–May the relative magnitude of warming by BrC is about 5–7 % of total aerosol cooling, except for Gandhi College, where it is as high as 20 % in April due to the strong BC absorption and thus small overall cooling. On the other hand, the importance of accounting properly for the spectral BrC effect in the DRE of carbonaceous aerosols (BrC + BC) can be emphasized by comparing it to BC (thus the second and third rows of Table 3). This comparison illustrates that BrC absorption can reach about 10 % of carbonaceous aerosol absorption.

As discussed above, whether the spectrally integrated SW direct radiative effect by BrC results in cooling or warming is determined by the relative strength of two opposing effects, warming at shorter wavelengths and cooling at the RNIR range. Thus it is crucial to properly take both of these spectral effects into account, which is often true for total aerosol DRE calculations as well. However, it has also been common to estimate the optical properties at mid-visible only and then apply some simple approximations and assumptions to account for spectral dependence in direct radiative effect calculations (e.g., Chylek and Wong, 1995; Haywood and Shine, 1995). Therefore, we wanted to also assess how well DRE based on the mid-visible range only could represent the entire SW range. We repeated our calculations for the DRE of BrC, but using Kato band no. 10 only, since it has the central wavelength at 544.8 nm (range from 540 to 549.5 nm). We estimated additionally the direct radiative effect of BC and total aerosol, using an identical approach that we described above for BrC. Thus the DRE of BC, for instance, was based

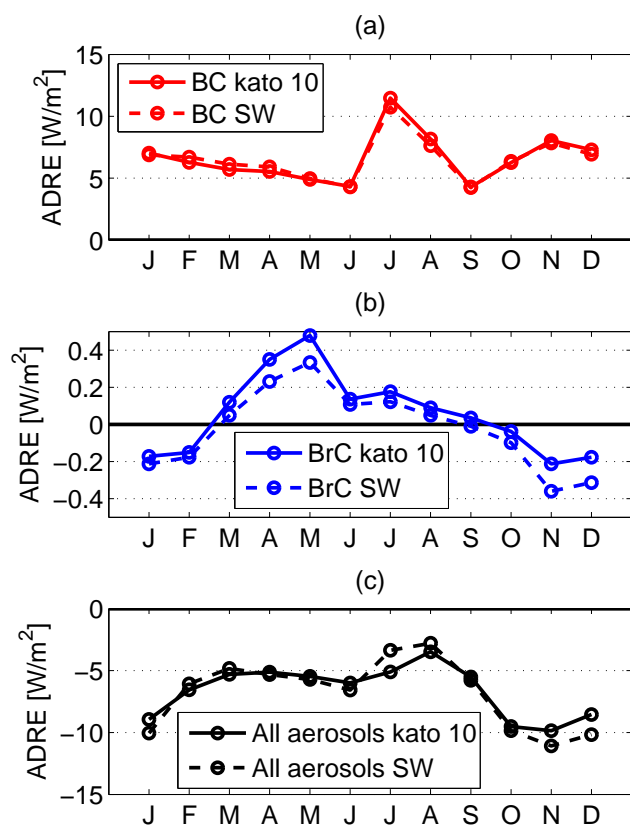


Figure 7. Direct radiative effect in Kanpur based on two spectral ranges of Kato bands: (1) all Kato bands and (2) Kato band no. 10 (center wavelength at 544.8 nm), but scaled to account for the full SW range. Upper panel: for BC; middle panel: for BrC; lower panel: for total aerosol.

on two radiative transfer runs: the case of all aerosols, and without BC. We then calculated the mean ratio of the DRE from the following two runs (separately for the BrC, BC and total aerosol cases): (1) actual spectrally resolved radiative transfer calculation including all the Kato bands, i.e., by the same approach we have applied in our results shown earlier, and (2) the radiative effect from the single Kato band no. 10 only. This mean ratio was then used as a conversion factor to get a full SW DRE from a single-band DRE radiative transfer run, and to make these two approaches comparable. Figure 7 shows the DRE in Kanpur from these two cases: (1) actual spectrally resolved radiative transfer calculation including all the Kato bands, and (2) the radiative effect from the single Kato band no. 10 only, but scaled to represent the full SW.

It is evident that a single wavelength approach can produce a rather stable estimate for the BC radiative effect; the relative error is within $\pm 10\%$, which is understandable given the spectrally invariant imaginary index of BC. On the other hand, both the BrC and total aerosol cases can reach significantly higher relative differences. SW radiative effects of BrC and total aerosol include typically wavelength ranges of both cooling and warming effects that a single wavelength

approach cannot therefore properly capture. Spectral dependence of the DRE of BrC was illuminated above, while the spectral dependence of the total aerosol DRE is typically different; for instance, in Kanpur, SSA is low enough and surface albedo high enough at RNIR range during the summer months to produce warming at these longer wavelengths (not shown), although the overall spectrally integrated total aerosol direct radiative effect is always negative, as shown in the lower panel of Fig. 7.

4 Conclusions

The importance of light-absorbing organic aerosols has become evident in recent years. It is important to understand and take into account the effects of BrC not only for the aerosol radiative forcing, but also for surface UV radiation levels and remote sensing from satellite in the UV wavelengths. However, there are relatively few measurement-based estimates for the direct radiative effect of BrC so far. In those earlier studies, the AERONET-measured AOD and AAE have been exploited, while this is the first time that the DRE of BrC is estimated by exploiting the AERONET-retrieved imaginary indices. With AOD and AAE information only, there is little information about the aerosol size, and thus the separation of dust and BrC absorption becomes unclear, while arguably with the use of imaginary indices (Schuster et al., 2015b), they can be better distinguished. We estimated the radiative effect of BrC for four AERONET sites in the Indo-Gangetic Plain (IGP): Karachi, Lahore, Kanpur and Gandhi College. We found a distinct seasonality, which was generally similar among all the sites, but with slightly different strengths. The warming by BrC takes place during the spring season, due to the relatively low BC fractions, so that the scattering effect by BrC at RNIR does not become significant enough and the absorption at the shortest wavelengths dominates in the spectrally integrated radiative effect. The opposite is true in late fall and in the winter period, when the BC fractions are more substantial, and therefore the cooling effect at RNIR wavelengths becomes more significant in the overall shortwave radiative effect by BrC.

We estimated the DRE of BrC as a difference of two radiative transfer runs: the case for all aerosols and without BrC. We estimated the DRE of BC and total aerosol similarly and, in that context, it became evident that the role of BrC is not insignificant and, moreover, it is crucial to properly account for its spectral radiative effect. The DRE of BrC can reach magnitudes of 10% relative to BC, so it is not negligible in the DRE of absorbing carbonaceous (BC + BrC) aerosols. Moreover, the DRE of BrC exhibited a distinct seasonality in the four sites we included in our analysis. Therefore, this study stresses the need to account for absorbing OC, not to assume it is purely scattering. And it is then particularly crucial to properly account for both warming effect at the lowest

and cooling effect at the longer wavelengths, when forming the overall SW direct radiative effect of BrC.

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